



STATE OF CONNECTICUT
DEPARTMENT OF MENTAL
HEALTH AND ADDICTION SERVICES

CONTRACT AMENDMENT

Contractor: **Yale University**
Contractor Address: **25 Science Park, 3rd Floor, 150 Munson St., New Haven, CT 06511-3572**
Contract Number: **16MHA6005**
Amendment Number: **4**
Amount as Amended: **\$38,703,107**
Contract Term as Amended: **July 1, 2015-June 30, 2017**

The contract between **Yale University** (the "Contractor") and the **Connecticut Department of Mental Health and Addiction Services** (the "Department" or "Agency"), which was last executed by the parties on 9/1/15, and subsequently amended on 2/29/16, 4/14/16 and 6/8/16, is hereby amended as follows:

1. **Maximum Contract Amount:** The total maximum amount payable under this contract is increased by \$203,361 from \$38,499,746 to **\$38,703,107**.
 - Funding is added to provide for negotiated salary increases and to increase staffing as mutually agreed upon through the Contractor's Department-approved State Fiscal Year 2017 Operating Budget.

All terms and conditions of the original Contract, and any subsequent amendments thereto, which were not modified by this Amendment remain in full force and effect.

IN WITNESS WHEREOF, the parties have executed this Contract amendment by their duly authorized representatives with full knowledge of and agreement with its terms and conditions.

Contractor

Yale University

Contractor

Signature

Donald T. Deyo, Director

Name and Title of Authorized Official

Date

3/15/2017

Connecticut Department of Mental Health and Addiction Services

Signature

Date

Miriam Delphin-Rittmon, Ph.D., Commissioner

Name and Title of Authorized Official

3/22/17

Connecticut Attorney General *approved as to form:*

Signature

Title

Date

Robert W. Clark

Special Counsel

5/2/17

WORKFORCE ANALYSIS

Contractor Name: Yale University

Total Number of CT employees: 13,884

Address: PO Box 208327, New Haven, CT 06520-8327

Full Time: 12,349 Part Time: 1,535

Complete the following Workforce Analysis for employees on Connecticut workites who are:

Job Categories	Overall Totals (sum of all cols. male & female)	White (not of Hispanic Origin)		Black (not of Hispanic Origin)		Hispanic		Asian or Pacific Islander		American Indian Or Alaskan Native		People with Disabilities**	
		male	female	male	female	male	female	male	female	male	female	male	female
Officials & Managers	2,005	706	1,062	36	83	22	34	19	42	0	1		
Professionals	6,904	2,790	2,510	97	137	69	103	661	528	4	5		
Technicians	1147	291	526	56	101	22	39	27	83	0	2		
Office & Clerical	2510	290	1,526	91	401	29	103	9	56	1	4		
Craft Workers (skilled)	449	324	31	60	14	13	0	5	1	1	0		
Operatives (semi-skilled)	195	32	25	64	69	2	1	0	0	2	0		
Laborers (unskilled)	NA												
Service Worker	674	60	62	263	256	15	10	1	4	1	2		
Totals Above	13,884	4,493	5,442	667	1,061	172	290	722	714	9	14		
Totals 1 year Ago	12,487	3,647	4,695	543	874	173	302	415	498	14	13		

FORMAL ON-THE-JOB TRAINEES (Enter figures for the same categories as are shown above)

Apprentices													
Trainees													

EMPLOYMENT FIGURES WERE OBTAINED FROM: Visual Check Employment RecordsX Other

1. Have you successfully implemented an Affirmative Action Plan? YESX NO
Date of implementation: 10/1/2013 If the answer is "No", explain.

1. a) Do you promise to develop and implement a successful Affirmative Action? YES NO Not Applicable Explanation:

2. Have you successfully developed an apprenticeship program complying with Sec. 46a-68-1 to 46a-68-17 of the Connecticut Department of Labor Regulations, inclusive: YES NOX Not Applicable Explanation:

We do not have apprenticeship programs.

3. According to EEO-1 data, is the composition of your work force at or near parity when compared with the racial and sexual composition of the work force in the relevant labor market area? YESX NOExplanation:

4. If you plan to subcontract, will you set aside a portion of the contract for legitimate minority business enterprises? YES NO Explanation:

**Since this data is supplied via self-identification, we cannot supply complete and accurate data.

Contractor's Authorized Signature
Donald T. Deyo

Date

3/11/2017

Yale OFFICE OF THE SECRETARY

KIMBERLY M. GOFF-CREWS
Secretary and Vice President for Student Life

PO Box 208230 New Haven CT 06520-8230
T 203 432-6602 F 203 432-7307
kimberly.goff-crews@yale.edu

courier
Woodbridge Hall
105 Wall Street
New Haven CT 06511

April 14, 2016

Joseph Rubin
Associate Attorney General
Office of the Attorney General
State of Connecticut
55 Elm Street
Hartford, Connecticut 06106

As Secretary of Yale University, I hereby certify that, as of the above date, the following University officials and staff have been authorized to sign contracts (and amendments thereto) between Yale University and the State of Connecticut with the limitations set forth below:

Peter Salovey, President (all contracts);

Stephen C. Murphy, Vice President for Finance and Chief Financial Officer (all contracts);

Bruce D. Alexander, Vice President for New Haven and State Affairs and Campus Development (contracts related to ownership and management of real property and University facilities);

Michael A. Peel, Vice President for Human Resources and Administration (contracts related to human resources, organizational development, labor relations and diversity and other non-academic and administrative work);

Lucy Lucker, University Controller and Chief Accounting Officer (all contracts up to \$5,000,000);

Deborah A. Armitage, Associate Controller (all contracts up to \$250,000);

Janet E. Lindner, Deputy Vice President for Human Resources and Administration (contracts up to \$500,000 related to human resources and administrative services, transportation matters, and the student work study program);

Cynthia L. Walker, Deputy Dean for Finance and Administration of the Yale School of Medicine (contracts up to \$500,000 except for: sponsored research contracts, contracts relating to facilities management and operations, contracts relating to intellectual property, technology transfer, and material transfer agreements);

Sarah Morrill, Award Manager, Office of Sponsored Projects
(grants and contracts for support of research up to \$500,000, and
related documents);

Nancy Kendrick, Director, Office of Sponsored Projects Financial
Management (financial and accounting documents related to
contracts for support of research, and related documents);

Lan H. Virasak, Assistant Director, Office of Sponsored Projects
Financial Management (financial and accounting documents
related to contracts for support of research, and related
documents);

Kenechia Clarke, Manager, Office of Sponsored Projects Financial
Management, Financial Reporting and Analysis (financial and
accounting documents related to contracts for support of research,
and related documents);

Margaret Conway, Manager, Office of Sponsored Projects Financial
Management (financial and accounting documents related to
contracts for support of research, and related documents);

Kathleen King, Lead Accountant, Office of Sponsored Projects Financial
Management (financial and accounting documents related to
contracts for support of research, and related documents);

Melissa Tebo, Lead Accountant, Office of Sponsored Projects Financial
Management (financial and accounting documents related to
contracts for support of research, and related documents).

The Yale Corporation has authorized the President, the Vice President for Finance and Chief Financial Officer, the Vice President for New Haven and State Affairs and Campus Development, and the Vice President for Human Resources and Administration to execute and deliver contracts on behalf of the University, including, contracts with the State of Connecticut and amendments thereto. The authority of the Vice President for New Haven and State Affairs and Campus Development and the Vice President for Human Resources and Administration is limited to the areas of their responsibility as indicated above. The Yale Corporation has also authorized the three Vice Presidents named above to delegate that authority to his or her subordinates (or to delegate such authority to their subordinates together with the authority to subdelegate to certain individuals above). Such delegations and subdelegations of authority and the Yale Corporation approval remain in full force and effect.



STATE OF CONNECTICUT
CERTIFICATION OF STATE AGENCY OFFICIAL OR EMPLOYEE
AUTHORIZED TO EXECUTE CONTRACT

Certification to accompany a State contract, having a value of \$50,000 or more, pursuant to Connecticut General Statutes §§ 4-250 and 4-252(b), and Governor Dannel P. Malloy's Executive Order 49.

INSTRUCTIONS:

Complete all sections of the form. Sign and date in the presence of a Commissioner of the Superior Court or Notary Public. Submit to the awarding State agency at the time of contract execution.

CERTIFICATION:

I, the undersigned State agency official or State employee, certify that (1) I am authorized to execute the attached contract on behalf of the State agency named below, and (2) the selection of the contractor named below was not the result of collusion, the giving of a gift or the promise of a gift, compensation, fraud or inappropriate influence from any person.

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Yale University

Contractor Name

Department of Mental Health and Addictions Svc.

Awarding State Agency

Miriam Delphin-Rittmon

State Agency Official or Employee Signature

Miriam Delphin-Rittmon, Ph.D

Printed Name

3/22/17

Date

Commissioner

Title

Sworn and subscribed before me on this 22nd day of March, 2017.

Cheryl A. Proctor
~~Commissioner of the Superior Court~~
or Notary Public

Cheryl A. Proctor
Notary Public

~~My Commission Expires August 31, 2019~~
My Commission Expires